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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known

Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

Sheet	1	of	3
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Sheet	1	of	3
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Attorney Docket Number	006969-021220US
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[Signature]

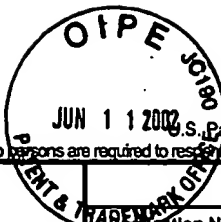
10-14-54

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **2** of **3**

Complete if Known

Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ²	Number ⁴	Kind Code ⁵ (if known)				
<i>[Signature]</i>	BD	EP	845 762	A2		06-03-1998		
<i>[Signature]</i>	BE	EP	825 565	A2		02-25-1998		
<i>[Signature]</i>	BF	WO	00/49580	A1		08-24-2000		
<i>[Signature]</i>	BG	WO	98/20461	A2		05-14-1998		
<i>[Signature]</i>	BH	WO	98/13790	A1		04-02-1998		
<i>[Signature]</i>	BI	GB	1 536 403			12-20-1978		

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Examiner Signature <i>[Signature]</i>	Date Considered 10-14-04
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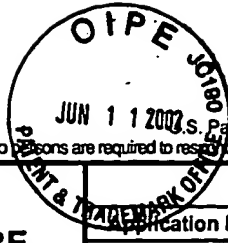
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

Complete If Known

Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indiciu Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
	BP	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

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Substitute for form 1448A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete If Known

Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
<i>gq</i>	AA	US-6,526,391	B1	Cordery	02-25-2003	
<i>gq</i>	AB	US-6,505,179	B1	Kara	01-07-2003	
<i>gq</i>	AC	US-6,385,731	B2	Ananda	05-07-2002	
<i>gq</i>	AD	US-6,296,404	B1	Pierce et al.	10-02-2001	
<i>gq</i>	AE	US-6,262,717	B1	Donohue et al.	07-17-2001	
<i>gq</i>	AF	US-6,249,777	B1	Kara et al.	06-19-2001	
<i>gq</i>	AG	US-6,208,980	B1	Kara	03-27-2001	
<i>gq</i>	AH	US-6,141,684		McDonald et al.	10-31-2000	
<i>gq</i>	AI	US-6,085,195		Hoyt et al.	07-04-2000	
<i>gq</i>	AJ	US-5,970,150		Sansone	10-19-1999	
<i>gq</i>	AK	US-5,909,373		Sansone et al.	06-01-1999	
<i>gq</i>	AL	US-5,848,401		Goldberg et al.	12-08-1998	
<i>gq</i>	AM	US-5,826,267		McMillan	10-20-1998	
<i>gq</i>	AN	US-5,822,739		Kara	10-13-1998	
<i>gq</i>	AO	US-5,737,729		Denman	04-07-1998	
<i>gq</i>	AP	US-5,457,636		Sansone et al.	10-10-1995	
<i>gq</i>	AQ	US-5,369,258		Sansone et al.	11-29-1994	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
<i>gq</i>	AR	WO	02/37736	A2		05-10-2002		
<i>gq</i>	AS	WO	97/46957	A1		12-11-1997		

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Date Considered

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Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/820,428
		Filing Date	March 28, 2001
		First Named Inventor	Leon, J.P.
		Group Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	006969-021220US	

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<i>[Signature]</i>	1	5,319,562	✓ A2	Whitehouse	06/07/94	1, 10, 11, 20
<i>[Signature]</i>	2	5,822,735	✓ A1	De Lapa et al.	10/13/98	
<i>[Signature]</i>	3	5,832,464	✓ A2	Houvenier et al.	11/03/98	

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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
<i>[Signature]</i>	4	PCT	WO 00/73963	✓ A2	Stamps.Com	12/07/00	1,2,4-6,8-12,14-16,18-20	
<i>[Signature]</i>	5	PCT	WO 99/39278	✓ A1	Ascom Hasler	08/05/99	1,10,11	
<i>[Signature]</i>	6	EP	0 927 945	✓ A2	Amazon.Com, Inc.	07/07/99	1,10,11,20	
<i>[Signature]</i>	7	EP	0 855 687	✓ A2	AT&T Corp.	07/29/98		

Examiner Signature	<i>[Signature]</i>	Date Considered	10-14-04
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application Number	09/820,428		
Filing Date	March 28, 2001		
First Named Inventor	Leon, J.P.		
Group Art Unit			
Examiner Name			
Attorney Docket Number	006969-021220US		

Sheet 2 of 2

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
<i>[Signature]</i>	4	PCT	WO 00/73963	A2	Stamps.Com	12/07/00	1,2,4,6,8-12,14-16,18-20	
<i>[Signature]</i>	5	PCT	WO 99/39278	A1	Ascom Hasler	08/05/99	1,10,11	
<i>[Signature]</i>	6	EP	0 927 945	A2	Amazon.Com, Inc.	07/07/99	1,10,11,20	
<i>[Signature]</i>	7	EP	0 855 687	A2	AT&T Corp.	07/29/88		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
<i>[Signature]</i>	8	United States Postal Service: "Performance Criteria for Information Based Indicia and Security Architecture for Open IB1 Postage Evidencing System," Information Based Indicia Program, 25 June 1999 (1999-06-25), XP002161216		

Examiner Signature <i>[Signature]</i>	Date Considered <u>10-14-04</u>
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